



Docket No. AKY-0012
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Utility Patent of:
Takashi ISHIKAWA et al.

Patent No.: 7,029,194

Issued: April 18, 2006

Application No.: 10/674,380

Filed: October 1, 2003

For: ONE-TOUCH CAP FOR FIXING A CONTROL
CABLE

REQUEST TO CORRECT NAME OF ASSIGNEE

Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby requests that the above-identified patent be corrected to state the name of the proper assignees, Nippon Cable System Inc., Hyogo, Japan, and Honda Giken Kogyo Kabushiki Kaisha, Tokyo, Japan

Background

A Notice of Allowance and Fee(s) Due was mailed in the above-identified application on October 12, 2005. Instead of listing the two proper assignees, Nippon Cable System Inc., Hyogo, Japan, and Honda Giken Kogyo Kabushiki Kaisha, Tokyo, Japan, item 3 of the executed Part B - Fee(s) Transmittal Form portion (PTOL-85B) of the Notice of Allowance indicates a mistake of a clerical or typographical nature in that only "Nippon Cable System Inc., Tokyo, Japan" is listed as the name of the assignee. This mistake, which also appears in the

01 FC:1464
02 FC:1811

130.00 DA
100.00 DA

10/23/2005 5:28 PM 00000061 180013 7029194
34 6000

above-identified patent, occurred in good faith and was not the fault of the Patent and Trademark Office.

A copy of the executed Part B - Fee(s) Transmittal Form portion (PTOL-85B) is provided along with this Request as ATTACHMENT A.

Pursuant to 37 C.F.R. §3.81(b), any request for issuance of an application in the name of the assignee submitted after the date of payment of the issue fee, and any request for a patent to be corrected to state the name of the assignee, must state that the assignment was submitted for recordation as set forth in 37 C.F.R. §3.11 before issuance of the patent, and must include a request for a Certificate of Correction under 37 C.F.R. §1.323 (accompanied by the fee set forth in 37 C.F.R. §1.20(a)) and the processing fee set forth in 37 C.F.R. §1.17(i).

Statement

The assignment was submitted for recordation as set forth in 37 C.F.R. §3.11 before issuance of the above-identified patent, as follows.

An Assignment and a Recordation Form Cover Sheet were deposited with the U.S. Patent and Trademark Office on January 15, 2004. The Notice of Recordation of Assignment Document mailed on September 24, 2004 indicates that the Assignment and a Recordation Form Cover Sheet of January 15, 2004 have been recorded by the Assignment Division at reel 014894, frame 0856. The Notice of Recordation of Assignment correctly lists the two Assignees, Nippon Cable System Inc., Hyogo, Japan, and Honda Giken Kogyo Kabushiki Kaisha, Tokyo, Japan

A copy of the Assignment and a Recordation Form Cover Sheet of January 15, 2004 and a copy of the Notice of Recordation of Assignment Document mailed on September 24, 2004 are provided along with this Request as ATTACHMENT B.

The above-identified application issued on April 18, 2006 as U.S. Patent No. 7,029,194.

Request for a Certificate of Correction

Two copies of the Certificate of Correction under 37 C.F.R. §1.323 are provided along with this Request as ATTACHMENT C.

Upon this Request and the evidence submitted, Applicant hereby requests that U.S. Patent No. 7,029,194 be corrected to state the name of the proper assignees, Nippon Cable System Inc., Hyogo, Japan, and Honda Giken Kogyo Kabushiki Kaisha, Tokyo, Japan.

It is believed that this Certificate of Correction does not involve such changes in the above-identified patent as would constitute new matter or would require reexamination.

Processing fee

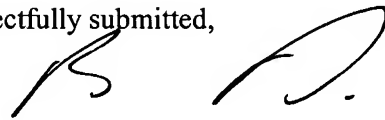
Please charge Deposit Account No. 18-0013 in the amount of **\$130.00** in payment of the processing fee this Request, as set forth in 37 C.F.R. §1.17(i).

Please charge Deposit Account No. 18-0013 in the amount of **\$100.00** in payment of the Certificate of Correction, as set forth in 37 C.F.R. §1.20(a).

If any fee is required or any overpayment made, the Commissioner is hereby authorized to charge the fee or credit the overpayment to Deposit Account # 18-0013.

Dated: August 21, 2006

Respectfully submitted,



By _____
David T. Nikaido
Registration No.: 22,663
Brian K. Dutton
Registration No.: 47,255
RADER, FISHMAN & GRAUER PLLC
1233 20th Street, N.W.
Suite 501
Washington, DC 20036
(202) 955-3750
Attorney for Applicant

AUG 24 2006

Application No.: 10/674,380

Docket No.: AKY-0012

ATTACHMENT A

DC239848

A

AUG 24 2000



PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail**

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or **Fax** (571) 273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

23353 7590 10/12/2005

RADER FISHMAN & GRAUER PLLC
LION BUILDING
1233 20TH STREET N.W., SUITE 501
WASHINGTON, DC 20036

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/674,380	10/01/2003	Takashi Ishikawa	AKY-0012	4395

TITLE OF INVENTION: ONE-TOUCH CAP FOR FIXING A CONTROL CABLE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	01/12/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
FERGUSON, MICHAEL P	3679	403-195000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Rader, Fishman & Grauer
2 PLLC
3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

NIPPON CABLE SYSTEM INC.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Tokyo, Japan

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individually ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
☒ Publication Fee (No small entity discount permitted)
☒ Advance Order - # of Copies 4

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 18-0013 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

David T. Nikaido

Date December 29, 2005

Typed or printed name David T. Nikaido

Registration No. 22,663

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

AUG 24 2006

Application No.: 10/674,380

Docket No.: AKY-0012

ATTACHMENT B



**CORRECTED
NOTICE**

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEPTEMBER 24, 2004

PTAS

RADER, FISHMAN & GRAUER PLLC
DAVID T. NIKAI DO
1233 20TH STREET N.W.
SUITE 501
WASHINGTON, DC 20036

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/15/2004

REEL/FRAME: 014894/0856
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ISHIKAWA, TAKASHI

DOC DATE: 09/18/2003

ASSIGNOR:

YONE, SHINICHI

DOC DATE: 12/11/2003

ASSIGNOR:

IKAWA, MASA HARU

DOC DATE: 12/12/2003

ASSIGNEE:

NIPPON CABLE SYSTEM INC.
12-28, SAKAEMACHI 1-CHOME
TAKARAZUKA-SHI
HYOGO, JAPAN 665-0845

AUG 24 2004

014894/0856 PAGE 2

ASSIGNEE:

HONDA GIKEN KOGYO KABUSHIKI KAISHA
1-1, MINAMI AOYAMA 2-CHOME MINATO-
KU
TOKYO, JAPAN 107-0062

SERIAL NUMBER: 10674380

FILING DATE: 10/01/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: ONE-TOUCH CAP FOR FIXING A CONTROL CABLE

MAURICE CARTER, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

AUG 24 2004

01-22-2004



Form PTO-1595

RE

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

102651267

COVER SHEET

PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Takashi Ishikawa (09/18/2003), Shinichi Yone
(12/11/2003), and Masaharu Ikawa (12/12/2003)Additional name(s) of conveying party(ies)
attached?☐

Yes

☒

No

3. Nature of Conveyance:

☒

Assignment

☐

Merger

☐

Security Agreement

☐

Change of Name

☐

Other

Execution Date: see Box 1, conveying parties

2. Name and address of receiving party(ies)

Name: NIPPON CABLE SYSTEM INC.

Internal Address:

Street Address:

12-28, Sakaemachi 1-chome
Takarazuka-shi

Pref.: Hyogo

Country: JAPAN

Zip: 665-0845

Additional name(s) &
address(es) attached:☒

Yes

☐

No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the new application is:

A. Patent Application No.(s):

10/674,380

B. Patent No.(s):

Additional numbers attached?

☐

Yes

☒

No

5. Name and address of party to whom correspondence
concerning document should be mailed:Name: David T. Nikaido
RADER, FISHMAN & GRAUER PLLC

Internal Address: Atty. Dkt.: AKY-0012

Street Address:
1233 20th Street, N.W.
Suite 501City:
WashingtonState:
DCZip:
200366. Total number of applications and
patents involved:

1

7. Total fee (37 CFR 3.41)

\$ 40.00

☐

Enclosed

☒

Authorized to be charged to deposit account

☐Authorized to be charged to credit card
(Form 2038 enclosed)

8. Deposit account number:

18-0013

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Carl Schaukowitch, Reg. No. 29,211

Name of Person Signing

Signature

January 15, 2004

Date

Total number of pages including cover sheet, attachments, and documents: 3

01/22/2004 LNUELLER 00000060 180013 10674380

01 FC:8021

40.00 DA

AUG 24 2006

RECORDATION FORM COVER SHEET
(continued)

Additional Conveying Party(ies) (1. Continued):

Additional Assignees (2. Continued):

Assignee Name: HONDA GIKEN KOGYO KABUSHIKI KAISHA

Internal Address:

Street Address: 1-1, Minami Aoyama 2-chome
Minato-kuCity: Tokyo State: JAPAN Zip Code: 107-0062

Assignee Name: _____

Internal Address:

Street Address:

City: _____ State: _____ Zip Code: _____

Assignee Name: _____

Internal Address:

Street Address:

City: _____ State: _____ Zip Code: _____

Additional Applications and/or Patents (4. Continued):

Additional Patent Application Numbers
4A. Continued:Additional Patent Numbers
4B. Continued:

Additional numbers attached?

☐ Yes ☐ No

AUG 24 2006

Docket No. _____

U.S. ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR) by

[Insert
ASSIGNEE's
Name(s)
Address(es)]

NIPPON CABLE SYSTEM INC.
12-28, Sakaemachi 1-chome, Takarazuka-shi, Hyogo 665-0845 Japan
HONDA GIKEN KOGYO KABUSHIKI KAISHA
1-1, Minami Aoyama 2-chome, Minato-ku, Tokyo 107-0062 Japan

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled

[Title of
Invention]

ONE-TOUCH CAP FOR FIXING CONTROL CABLE

[*If the assignment
is being filed
after the filing of the
application, this
section must be
completed]

for which application for Letters Patent of the United States was executed on even date herewith unless otherwise indicated below:

*filed on _____, Serial No. _____

(Rader, Fishman & Grauer, PLLC is hereby authorized to insert the series code, serial number and/or filing date hereon, when known)

and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with application and any continuing, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, division, reissue or reexamination thereof or Letters Patent or reissue patent issued thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

[Signature(s)
of Assignor(s)]

<u>Takashi Ishikawa</u> (SIGNATURE)	<u>Takashi ISHIKAWA</u> (TYPE NAME)	<u>18.9.2003</u> (DATE)
<u>Shinichi Yone</u> (SIGNATURE)	<u>Shinichi YONE</u> (TYPE NAME)	<u>Dec/11/2003</u> (DATE)
<u>Masaharu Ikawa</u> (SIGNATURE)	<u>Masaharu YOSHINO IKAWA</u> (TYPE NAME)	<u>DEC/12/2003</u> (DATE)
_____ (SIGNATURE)	_____ (TYPE NAME)	_____ (DATE)
_____ (SIGNATURE)	_____ (TYPE NAME)	_____ (DATE)
_____ (SIGNATURE)	_____ (TYPE NAME)	_____ (DATE)

AUG 24 2003

Application No.: 10/674,380

Docket No.: AKY-0012

ATTACHMENT C

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

PATENT NO. : 7,029,194
DATED : April 18, 2006
INVENTOR(S) : Takashi ISHIKAWA et al.

It is certified that this error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the Assignee:

The Assignee section should list the following assignees:

Nippon Cable System Inc., Tokyo (JP)
Honda Giken Kogyo Kabushiki Kaisha, Tokyo (JP)

MAILING ADDRESS OF SENDER:

David T. Nikaido
Rader, Fishman & Grauer PLLC
1233 20th Street, N.W.
Suite 501
Washington, DC 20036

PATENT NO. 7,029,194**AUG 24 2006****AUG 24 2006****AUG 24 2006**

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

PATENT NO. : 7,029,194
DATED : April 18, 2006
INVENTOR(S) : Takashi ISHIKAWA et al.

It is certified that this error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the Assignee:

The Assignee section should list the following assignees:

Nippon Cable System Inc., Tokyo (JP)
Honda Giken Kogyo Kabushiki Kaisha, Tokyo (JP)

MAILING ADDRESS OF SENDER:

David T. Nikaido
Rader, Fishman & Grauer PLLC
1233 20th Street, N.W.
Suite 501
Washington, DC 20036

PATENT NO. 7,029,194

AUG 24 2006